10/17/2011

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.nunto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

Hunton & Williams 1900 K Street, N.W. Washington, DC 20006-1109 EXAMINER VYAS, ABHISHEK

ART UNIT PAPER NUMBER

DATE MAILED: 10/17/2011

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 00/760 576
 01/26/2001
 Feedband Glen Young III
 \$2,903,000133
 4921

TITLE OF INVENTION: SYSTEM, METHOD AND SOFTWARE APPLICATION FOR ACCESSING AND PROCESSING INFORMATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	01/17/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

PREV. PAID ISSUE FEE

The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further correspondence including the Patent, advance orders and notific	ation of maintenance fees will be mailed to the current correspondence address are
indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a naintenance fee notifications.	we correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Up Block I for our change of ordered)	Note: A postificate of mailing on only be used for demostic mailings of the

759n 10/17/2011 Hunton & Williams 1900 K Street, N.W. Washington, DC 20006-1109

SMALL ENTITY

ISSUE FEE DUE

APPLN, TYPE

Advance Order - # of Copies

5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

### Certificate of Mailing or Transmission

I hereby certify that this Feeds () Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (5/1) 273-2885, on the date indicated below.

(Depositor's name)	
(Signature)	
(Date)	

TOTAL FEE(S) DUE

DATE DUE

(enclose an extra copy of this form).

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 09/769 526 01/26/2001 Freeland Glen Young III 52493 000133 4921 TITLE OF INVENTION: SYSTEM, METHOD AND SOFTWARE APPLICATION FOR ACCESSING AND PROCESSING INFORMATION

PUBLICATION FEE DUE

nonprovisional NO \$1740 \$300 50 \$2040 01/17/2012 APTIMIT CT ASSISTRCT ASS VYAS ARHISHEK 3691 705-004000 1. Change of correspondence address or indication of "Fee Address" (37 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is Number is required. listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🚨 Corporation or other private group entity 🚨 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached.

interest as shown by the records of the United States Patent and Trademark Office Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patert and Trademark Officer. U.S. Peterstreat of Commerce, P.O. Box 1450, Alexandria, Virginia 2231-450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 2231-450.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in

overpayment, to Deposit Account Number

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/769,526 01/26/2001 Freeland Glen Young III			52493.000133 4921	
75	90 10/17/2011	EXAMINER		
Hunton & Williams 1900 K Street, N.W.			VYAS, ABHISHEK	
Washington, DC 20			ART UNIT	PAPER NUMBER

DATE MAILED: 10/17/2011

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1403 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1403 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2): (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom
  of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of
  records may be disclosed to the Department of Justice to determine whether disclosure of these
  records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement necotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his-fher designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2004 and 2006. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Notice of Allowability

Application No.	Applicant(s)	
09/769,526	YOUNG ET AL.	
Examiner	Art Unit	
ADUIDUEK VOVAG	0004	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included tive

. ☑ This communication is responsive to amendments and remarks filed 07/25/2011.  2. ☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.  3. ☑ The allowed claim(s) is/are 1-5.7-11.13-17 and 19-24.  4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).  *Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.  5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.  (a) ☐ including changes required by the Notice of Drafsperson's Patent Drawing Review ( PTO-948) attached  1) ☐ hereto or 2 ☐ to Paper No./Mail Date  (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date  [Depositor of Paper No./Mail Date  (c) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date  [Depositor of Paper No./		ance (PTOL-85) or other appropriate communication will be mailed in due course. THIS OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative e 37 CFR 1.313 and MPEP 1308.
requirement and election have been incorporated into this action.    The allowed claim(s) is/are 1.5.7.11,13-17 and 19-24.	.   This communication is responsive to <u>amend</u>	Iments and remarks filed 07/25/2011.
Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)  All b)  Some* c)  None of the:  1.		
a) All b) Some* c) None of the:  1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No	3. X The allowed claim(s) is/are 1-5,7-11,13-17	and 19-24.
noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.    A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.    CORRECTED DRAWINGS (as "replacement sheets") must be submitted.   (a)   including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached   1)   hereto or 2   to Paper No./Mail Date   (b)   including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date   Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).   DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.	a) All b) Some c) None  1. Certified copies of the priority.  2. Certified copies of the priority.  3. Copies of the certified copies.  International Bureau (PCT Rul	of the: documents have been received. documents have been received in Application No of the priority documents have been received in this national stage application from the
INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.  S. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.  (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  1)   hereto or 2   to Paper No./Mail Date    (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date    Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).  C. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.	noted below. Failure to timely comply will result	in ABANDONMENT of this application.
(a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  1) hereto or 2 ho Paper No./Mail Date  (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date  (dentifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.		
each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).  'DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.  Attachment(s)	(a) ☐ including changes required by the Not  1) ☐ hereto or 2) ☐ to Paper No./  (b) ☐ including changes required by the atta	ice of Draftsperson's Patent Drawing Review ( PTO-948) attached Mail Date
attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.  Attachment(s)		
1. Notice of References Cited (PTO-892)  5. Notice of Informal Patent Application	Attachment(s) . Motice of References Cited (PTO-892)	5. ☐ Notice of Informal Patent Application

- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).

	Paper No./I	Mail Date	07/26/2011			
	Examiner's	Comment	Regarding	Requirement	for	Deposit

ᅟ	Litarriller a Comment riegarding riega	nement for	Deposit
	of Biological Material		

6. Interview Summary (PTO-413), Paper No./Mail Date \_\_

7. X Examiner's Amendment/Comment

8. X Examiner's Statement of Reasons for Allowance

9. [	Other	

/A. V./			
xaminer	Art	Unit	369

/Alexander Kalinowski/ Supervisory Patent Examiner, Art Unit 3691

U.S. Patent and Trademark Office

Page 2

Application/Control Number: 09/769,526

Art Unit: 3691

### EXAMINER'S AMENDMENT

 An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. James Miner on 10/11/2011.

1. (Currently Amended) A method for enabling interactive access to and verification of agent commission information using a data processor, said method comprising the steps of:

receiving, by the data processor, agent identifying information and agent commission information, wherein said agent identifying information comprises at least one selected from the group consisting era name, an address, and a telephone number;

analyzing and sorting, by the data processor, the received agent identifying information and agent commission information;

saving, by the data processor, the agent identifying information and the agent commission information:

providing an interactive display, by the data processor, of the agent identifying information and the agent commission information;

Art Unit: 3691

providing interactive access, by the data processor, to a display of detailed agent identifying information; and

providing interactive access, by the data processor, to a display of detailed agent commission information:

wherein the detailed agent identifying information or the detailed agent commission information is sorted and searchable by the following hyperlinks: agent name, policy/account, client, product, estimated gross commission and estimated procurement date;

and

Initiating activating a policy/account link, by the data processor, and wherein initiation the activation of the policy/account link generates a paid and pending commission report comprising particulars on policy number, client name, product, company, issue date, estimated commission procurement date, face amount, premium, premium mode, issue age, split%, commissionable amount, estimated gross commission and reference number.

- (Previously Presented) The method of claim 1, wherein said agent identifying information further comprises: a writing code.
- (Original) The method of claim 2, wherein said detailed agent commission information comprises: policy information; and commission detail information.
- 4. (Original) The method of claim 3, wherein said policy information comprises:
- a policy number;
- a client name:

Art Unit: 3691

a product name;

a company name; and

art issue date.

5. (Original) The method of claim 4, wherein said commission detail information comprises:

an estimated commission processing date for a commission;

a face amount of a policy;

a premium for a policy;

a mode for the premium of a policy; and

an issue age of a policy.

6. (Canceled)

7. (Currently Amended) A non-transitory computer readable medium including a software application for enabling interactive access to and verification of agent commission information, said software application comprising:

one or more instructions for receiving agent identifying information and agent commission information, wherein said agent identifying information comprises at least one selected from the group consisting of a name, an address, and a telephone number:

Art Unit: 3691

one or more instructions for analyzing and sorting the received agent identifying information and agent commission information:

one or more instructions for saving the agent identifying information and the agent commission information;

one or more instructions for providing an interactive display of the agent identifying information and the agent commission information,

one or more instructions for providing interactive access to a display of detailed agent identifying information; and

one or more instructions for providing interactive access to a display of detailed agent commission information:

wherein the detailed agent identifying information or the detailed agent commission information is sorted and searchable by the following hyperlinks: agent name, policy/account, client, product, estimated gross commission and estimated procurement date; and

Initiating one or more instructions for activating a policy/account view by selecting a policy/account hyperlink, and wherein initiation of the activation of the policy/account view generates a paid and pending commission report comprising particulars on policy number, client name, product, company, issue date, estimated commission

Page 6

Application/Control Number: 09/769,526

Art Unit: 3691

 $procurement\ date,\ face\ amount,\ premium,\ premium\ mode,\ issue\ age,\ split\%,$ 

commissionable amount, estimated gross commission and reference number.

8. (Previously Presented) The computer readable medium of claim 7, wherein said

agent identifying information further comprises: a writing code.

9. (Original) The software application of claim 8, wherein said detailed agent

commission information comprises: policy information; and commission detail

information.

10. (Original) The software application of claim 9 wherein said policy information

comprises:

a policy number;

a client name:

a product name;

a company name; and

an issue date.

11. (Original) The software application of claim 9, wherein said commission detail

information comprises: an estimated commission processing date for a commission;

a face amount era policy; a premium for a policy; a mode for the premium of a policy;

and an issue age era policy.

12. (Canceled)

Art Unit: 3691

13. (Currently Amended) A server system for enabling interactive access to and verification of agent commission information, said system comprising:

a receiving element that receives agent identifying information and agent commission information, wherein said agent identifying information comprises at least one selected from the group consisting era name, an address, and a telephone number:

a processing element that analyzes and sorts the received agent identifying information and agent commission information;

a saving element for saving the agent identifying information and the agent commission information:

a displaying element that provides an interactive display of the agent identifying information and the agent commission information;

a first interface element that provides interactive access to a display of detailed agent identifying information; and

a second interface element that provides interactive access to a display of detailed agent commission information;

wherein the detailed agent identifying information or the detailed agent commission information is sorted and searchable by the following hyperlinks: agent name, policy/account, client, product, estimated gross commission and estimated procurement date; and

Initiating activating a policy/account link, and wherein initiation the activation of the policy/account link generates a paid and pending commission report comprising

Art Unit: 3691

particulars on policy number, client name, product, company, issue date, estimated commission procurement date, face amount, premium, premium mode, issue age, split%, commissionable amount, estimated gross commission and reference number.

- 14. (Previously Presented) The system of claim 13, wherein said agent identifying information further comprises: a writing code.
- 15. (Original) The system of claim 13, wherein said detailed agent commission information comprises: policy information; and commission detail information.
- 16. (Original) The system of claim 15 wherein said policy information comprises: a policy number: a client name: a product name: a company name: and an issue date.
- 17. (Original) The system of claim 15, wherein said commission detail information comprises:
- an estimated commission processing date for a commission; a face amount of a policy; a premium for a policy; a mode for the premium of a policy; and an issue age of a policy.
- 18. (Canceled)
- 19. (Previously Presented) The method of claim 1 wherein said agent identifying information includes a name, an address, and a telephone number.

Art Unit: 3691

20. (Previously Presented) The computer readable medium of claim 7 wherein said agent identifying information includes a name, an address, and a telephone number.

- 21. (Previously Presented) The method of claim 1, wherein the detailed commission information comprises a gross commission.
- 22. (Previously Presented) The computer readable medium including a software application of claim 7, wherein the detailed commission information comprises a gross commission.
- 23. (Previously Presented) The system of claim 13, wherein the detailed commission information comprises a gross commission.
- 24, (Currently Amended) A method for enabling interactive access to and verification of agent commission information using a data processor, said method comprising the steps of:

receiving; by the data processor, agent identifying information and agent commission information, wherein said agent identifying information comprises at least one selected from the group consisting of a name, an address, and a telephone number; analyzing and sorting, by the data processor, the received agent identifying information and agent commission information:

saving, by the data processor, the agent identifying information and the agent commission information;

providing an interactive display, by the data processor, of the agent identifying

Art Unit: 3691

information and the agent commission information;

providing interactive access, by the data processor, to a display of detailed agent

identifying information; and

providing interactive access, by the data processor, to a display of detailed agent

commission information;

wherein the detailed agent identifying information or the detailed agent

commission information is sorted and searchable by the following hyperlinks: agent

name, policy/account, client, product, estimated gross commission and estimated

procurement date;

and

 $\underline{\text{Initiating }} \ \underline{\text{activating}} \ \underline{\text{a policy/account }} \underline{\text{link}}, \text{by the data processor}, \ \underline{\text{and}} \ \underline{\text{wherein }} \underline{\text{initiation}}$ 

the activation of the policy/account link generates a paid and pending commission

report comprising particulars on policy number, client name, product, company, issue

date, estimated commission procurement date, face amount, premium, premium mode,

issue age, split%, commissionable amount, estimated gross commission and reference

number.

Art Unit: 3691

2. The following is an examiner's statement of reasons for allowance:

Agency Assistant does not teach or suggest upon selecting or initiating the policy/account hyperlink; the detailed agent identifying information or the detailed agent commission information is sorted and searchable by the following hyperlinks: agent name, policy/account, client, product, estimated gross commission and estimated procurement date; activating a policy account link, wherein activating the policy/account link generates a paid and pending commissions report comprising particulars on policy number, client name, product, company, issue date estimated commission procurement date, face amount, premium, premium mode, issue age, split%, commissionable amount, commissionable rate, estimated gross commission and reference number as expressly recited in claim 1. Agency Assistant does not teach or suggest the step of sorting agent identifying information and agent commission information. Further the prior art or record alone or in combination do not teach or suggest activating a policy account hyperlink to generate a paid and pending commissions report Therefore the claims are deemed allowable over the prior art of record.

Art Unit: 3691

# OTHER PUBLICATIONS:

John W Macdonald. (2001, January). P-C agents can control worksite plans. *National Underwriter*, 106(3), 12-14. Retrieved September 28, 2011, from ABI/INFORM Global. (Document ID: 103406003).

Vesta Insurance Group, Inc. Reports Third Quarter And Nine Month 2000
Results. (31 October). PR Newswire, 1. Retrieved September 28, 2011, from
Business Dateline. (Document ID: 63053708).

#### Conclusion

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Abhishek Vyas whose telephone number is 571-270-1836. The examiner can normally be reached on 7:30am-5:00pm EST Mon-Thur, ALT Friday OFF.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alexander Kalinowski can be reached on 571-272-6771. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3691

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published

applications may be obtained from either Private PAIR or Public PAIR. Status

information for unpublished applications is available through Private PAIR only. For

more information about the PAIR system, see http://pair-direct.uspto.gov. Should you

have questions on access to the Private PAIR system, contact the Electronic Business

Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO

Customer Service Representative or access to the automated information system, call

800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/A. V./

Examiner, Art Unit 3691

/Alexander Kalinowski/

Supervisory Patent Examiner, Art Unit 3691